Batten Institute
Research Grant Program: Request for Proposals

Proposal Deadline: May 1, 2016
Grant Funding Period: July 1, 2016 - June 30, 2017 (FY17)

The Batten Institute, a center at the University of Virginia’s Darden School of Business dedicated to advancing knowledge about entrepreneurship and innovation, is soliciting research grant proposals for the 2016-2017 academic year. The purpose of the grant program is to encourage rigorous and relevant academic research about entrepreneurship and innovation that results in high-impact intellectual outputs consistent with the missions of the Batten Institute, the Darden School and the University of Virginia.

Eligibility
To be eligible the principal investigator (PI) must be a faculty member at the University of Virginia; however, proposals may include co-investigators who are not members of the UVA faculty. NOTE: Darden faculty should apply for support through the Batten Research Grant Program only after having requested unrestricted funds through the annual Research & Course Development Committee process.

Funding
Funding requests for individual projects have ranged from $2,000 to $20,000; the average request approved by the committee has been approximately $10,000. Grants may be used to cover research-related expenses in support of specific research projects that address entrepreneurship and innovation, including but not limited to: travel, data collection, research assistance, data subscriptions, or any such investments required to carry out research. Grants may not be used for salary support or honoraria.

Review
All proposals will be reviewed and decided upon by a committee that includes members of Darden’s Research and Course Development Committee and the Batten Institute’s leadership. The committee will base its decision on: 1) the scholarly merits of the proposal; 2) whether the proposed research falls in the domains of entrepreneurship or innovation*; 3) the quality of the expected/forthcoming intellectual contribution; 4) the likelihood that the research project will result in the publication of papers in peer-

* For the purpose of evaluating the appropriateness of research projects the Batten Institute has adopted the definition of entrepreneurship and innovation articulated by Management Science: “Entrepreneurship includes new business creation as well as entrepreneurial activities undertaken within existing businesses or through new market mechanisms. Innovation includes novel and creative ways to create value through new products or services, new business models, or new processes. Topics of interest include (but are not limited to) new venture formation processes, financing, and strategies; R&D and project management, performance metrics, and portfolio evaluation; institutions and policies to enhance entrepreneurship and innovation; markets for ideas, innovation, and other intangibles; regional and global dynamics of entrepreneurship and innovation; university and science-based innovation and technology transfer; continuous improvement and new process development; new product development, development processes, and service design; patents, licensing, and intellectual property; business model innovation (e.g., operations, marketing, or network innovation); open innovation and distributed innovation; and market and financial impact of innovation.”
reviewed academic journals and other research-oriented outlets; and, 5) the PI’s past record of productivity. Preference will be given to proposals involving Darden faculty. The committee reserves the right to modify the amount of support requested.

**Grant Management**
Grants will be managed by the Principal Investigator. Timely submission of expenses will be the responsibility of the Principal Investigator, as will attention and adherence to UVA policies and Darden guidelines on the expenditure of research funds. Following UVA policy, all grants are intended to be used during a single academic year (July 1, 2016 - June 30, 2017); unspent funds may not be rolled over to the following year and they may not be repurposed. However, investigators may reapply for grant monies in subsequent years should an ongoing project require further time and/or funding. Investigators receiving funding for an ongoing project may apply separately for funds for new projects.

**Acknowledgement**
By accepting Batten Institute funds the grantee accepts the responsibility for acknowledging the support of the Batten Institute in the resulting intellectual outputs, including but not limited to: papers, articles, briefings, books, teaching cases, and conferences. Grantees will also permit the Batten Institute to feature their funded projects on its website and in other electronic or printed publications.

**Proposals**
Grant proposals should be submitted using the online form located at: http://virginia.qualtrics.com/SE/?SID=SV_9zUhHH0y5tPrr3T

The deadline is **May 1, 2016**. Proposals should be brief (~2 pages) and they must clearly articulate how the research will address important questions related to entrepreneurship and/or innovation, as defined by *Management Science* (see previous footnote). Each submission should include the following elements:

- Project title
- Investigators (names, titles, affiliations)
- Abstract (brief)
- Research question
- Relevance to entrepreneurship and/or innovation
- Methodology
- Intended outcomes
- Expected deliverables
- Planned expenditures
- Budget
- Other important considerations

NOTE: If seeking support for the continuation of projects funded in previous years, please also describe the progress made to date and include the title(s) of any papers, cases, books, or other research outputs produced during the last year in relation to those ongoing projects.

Please submit your proposal through this Qualtrics form:
http://virginia.qualtrics.com/SE/?SID=SV_9zUhHH0y5tPrr3T

For any specific questions regarding the Batten Institute Research Grant Program, please contact:

**Andrew C. King**
Senior Researcher
Batten Institute
Darden School of Business
University of Virginia
P.O. Box 6650
Charlottesville, VA 22906
434.243.7687
kinga@darden.virginia.edu
www.batteninstitute.org